



Prescient Assurance LLC  
1900 Church Street, Suite 300  
Nashville, TN 37203

August 11, 2025

Pia Trade Co  
L1 Lamerton House  
Name of Signatory: Terry Irons  
Email of Signatory: terry.irons@pia.ai

**Letter of Attestation**  
SOC 2 Type 2 + HIPAA, GDPR Compliance

**Unqualified Opinion**

In our opinion, in all material respects:

- a) The description presents Pia Trade Co's system that was designed and implemented throughout the period February 2, 2024 to June 30, 2025 in accordance with the description criteria.
- b) The controls stated in the description were suitably designed throughout the period February 2, 2024 to June 30, 2025, to provide reasonable assurance that Pia Trade Co's service commitments and system requirements would be achieved based on the applicable trust services criteria, if its controls operated effectively throughout the period, and if the subservice organization and user entities applied the complementary controls assumed in the design of Pia Trade Co's controls during that period.
- c) The controls stated in the description operated effectively throughout the period February 2, 2024 to June 30, 2025, to provide reasonable assurance that Pia Trade Co's service commitments and system requirements were achieved based on the applicable trust services criteria, if the complementary subservice organization and complementary user entity controls assumed in the design of Pia Trade Co's controls operated effectively throughout the period.
- d) The controls stated in the description were suitably designed throughout the period February 2, 2024 to June 30, 2025, to provide reasonable assurance that Pia Trade Co, complied with the HIPAA Security, Privacy, and Breach Notification Rules, if its controls operated effectively, and if the subservice organization and user entities applied the complementary controls assumed in the design of Pia Trade Co's controls.
- e) The controls stated in the description were suitably designed throughout the period February 2, 2024 to June 30, 2025, to provide reasonable assurance that Pia Trade Co complied with the General Data Protection Regulation Rules, if its controls operated effectively, and if the subservice organization and user entities applied the complementary controls assumed in the design of Pia Trade Co's controls.

Prescient Assurance LLC confirms compliance with the HIPAA framework and SOC 2 Type 2 compliance by Pia Trade Co in accordance with the AICPA's AT-C 315 Compliance Attestation, audit practices defined in ISO 19011 and the Trust Service Criteria for Security.

*Prescient Assurance*  
Prescient Assurance, LLC.

